



**PRIMARY SECURITIES LTD ABN 96 089 812 635
AUSTRALIAN FINANCIAL SERVICES LICENCE NO 224107**

CLIENT REGISTRATION FORM

Beneficiary 1

Surname

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Given Name(s)

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Residential Address (PO Box is NOT acceptable)

Suburb

State

Postcode

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Beneficiary 2

Surname

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Given Name(s)

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Residential Address (P.O. Box is NOT acceptable)

Suburb

State

Postcode

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If more than two (2) Shareholders, please complete on a separate page and attach to this Client Registration Form.

(d) TRUSTEE DETAILS

Full Name of Trust

Country of Registration / Establishment

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Country of Residence for Tax Purposes (if not Australia)

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Trust Tax File Number or Exemption Code

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Australian Business Number (if any)

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Trustee Information

- If Trustee is a Company, complete Section 2(c).
- If Trustee is an individual, then individual Trustee 1 must complete Section 2(a), individual Trustee 2 must complete Section 2(b), if there are additional individual trustees then the information contained in Section 2(a) can be copied on a separate page and attached to this Client Registration Form.

Type of Trust

Registered Managed Investment Scheme

ARSN

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Unregistered Managed Investment Scheme

ABN

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Regulated Trust (e.g. self managed super fund)

Name of Regulator (e.g. APRA, ATO)

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ABN Number or Registration Details / Number

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Government Superannuation Fund

Name of Legislation Establishing Fund

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Foreign Superannuation Fund

Name of Regulator

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Registration Details / Number

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Any Other Trust

Trust Description (e.g. Family, Unit Trust, Estate)

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Beneficiary Details (only to be provided if 'Any Other Trust')

Do the terms of the trust identify the beneficiaries by reference to membership of a class?

Yes

Details of Membership of Class/es (e.g. Family Members of Named Person, Unit Holders)

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No

Provide name of each beneficiary. If insufficient room, attach a separate sheet.

Full Given Names		Surname
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SECTION 3 – POLITICALLY EXPOSED PERSONS

Are you or any of the beneficial owners detailed on this form a politically exposed person or organisation for the purpose of any AML/CTF Law?

Yes No

If Yes, please provide details.

“politically exposed person” means an individual who holds a prominent public position or function in a government body or an international organisation or who is an immediate family member or close associate of such a person. For example:

- head of state or head of a country or government;
- government minister or equivalent senior politician or senior government official,
- senior judge of court of Australia or foreign country or international organisation,
- governor of a central bank;
- senior foreign representative, ambassador or high commissioner;

- high-ranking member of the armed forces; or
- board chair, chief executive, or chief financial officer of, or any other position that has comparable influence in, any State enterprise or international organisation.

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SECTION 4 – FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) STATUS

Pursuant to FATCA we must collect information regarding your residency and citizenship status in order to comply with United States of America (USA) tax reporting provisions under the Intergovernmental Agreement between Australia and USA.

Regulated super funds (self managed superannuation funds, APRA regulated super funds, government super funds or pooled superannuation trusts) are not required to complete this section).

Are any of the applicants (individual, trustee, company or trust, including a trust created in the USA or, established under the laws of the USA) a USA citizen or resident of the USA for tax purposes

Yes No

If No go to section 5

If yes please complete the appropriate section below:

INDIVIDUALS/COMPANIES THAT ARE NOT FINANCIAL INSTITUTIONS

Please provide your USA taxpayer identification number (TIN) or exemption code:

TIN FOR INVESTOR 1/TRUSTEE 1/COMPANY

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TIN FOR INVESTOR 2/TRUSTEE 2

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FINANCIAL INSTITUTION/TRUST WITH A TRUSTEE THAT IS A FINANCIAL INSTITUTION

Provide the company's Global Intermediary Identification Number, or otherwise provide its FATCA status

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NON FINANCIAL INSTITUTION PROPRIETARY COMPANY/UNLISTED PUBLIC COMPANY

Are any of the company's beneficial owners US citizens or residents of the US for tax purposes?

Yes No

If yes please provide the USA taxpayer identification number of those individuals in the section below and on a separate sheet as necessary.

Given Name(s) (First Name and Middle Name)

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Surname

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US Taxpayer Identification Number

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TRUSTS THAT ARE NOT USA TRUSTS, OR DO NOT HAVE FINANCIAL INSTITUTION TRUSTEES

Are any of the Trust beneficiaries, trustees or settlors US citizens or residents of the US for tax purposes?

Yes No

If the Trustee is a company, are any of this company's beneficial owners US citizens or residents for US tax purposes?

Yes No

If yes please provide the USA taxpayer identification number of those individuals in the section below and on a separate sheet as necessary.

Given Name(s) (First Name and Middle Name)

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Surname

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US Taxpayer Identification Number

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SECTION 5 – ANTI MONEY LAUNDERING AND COUNTER-TERRORISM FINANCING LEGISLATION

In order to process your Client Registration Form we must verify the customer identification information you provide to us on this Client Registration Form. For joint investors, verification is necessary for each investor.

You can either send us a certified copy of the applicable document (s) with your application or come into our offices with the original documents(s). The documents you can provide are set out in the following table:

Type of Investor	Proof of Identity documents
<p>Individual:</p> <ul style="list-style-type: none"> • acting for themselves • as a sole trader; • as an individual trustee of a trust <p>Note: for joint investors the necessary proof of identity is required for each investor</p>	<p>One 'A' document or One 'B' and one 'C' document</p> <p>'A' documents :</p> <ul style="list-style-type: none"> • Current drivers licence; or • Passport (current within the preceding 2 years); <p>'B' documents:</p> <ul style="list-style-type: none"> • Birth certificate; • Citizenship certificate; or • Pension or health card from Centrelink. <p>'C' documents: (containing name and residential address*)</p> <ul style="list-style-type: none"> • Notice from Commonwealth or State or Territory as evidence of entitlement to a financial benefit; • Notice of Australian Tax Office recording a debt; payable by or to ATO; • Utilities or local government body notice (within preceding 3 months) e.g. rates notice, phone, gas or electricity bill; • Statement from bank or building society (within last 3 months); • Household/motor insurance certificates (within the last 12 months).

Given Name(s) (First Name and Middle Name)

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Surname

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Signature 2:

Date

Tick capacity if applicant is a company

Director Secretary